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LISTED ABOVE AT (732) 530-9404 THIS MESSAGE HAS / PAGES INCLUDING THIS SHEET Commissioner for Patents TO: FAX NO.: ______(703) 872-9311 FROM: Keith Taboada, Esq. DATE: <u>February 21, 2003</u> MATTER: Serial No. 09/494,327 Filed: January 29, 2000 DOCKET NO.: 4209 APPLICANT: Kwan, et al. The following has been received in the U.S. Patent and Trademark Office on the date of this facsimile: X CPA Request Transmittal Letter (2 copies Petition Fee Transmittal (2 copies) Disclosure Statement & PTO-1449 X Deposit Account Transaction Priority Document X Facsimile Transmission Certificate Drawings (___ sheets) informal dated February 21, 2003 X Preliminary Amendment (12 pages) X Receipt for Fax Transmitted CPA CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. §1.8 I hereby certify that this correspondence is being transmitted by facsimile to the Commissioner for Patents, Box CPA, Washington, DC 20231 on February 21, 2003, Facsimile No. (703) 872-9311. Allyson M. DcVesty Name of person signing this certificate

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09/494,327

4209

1. ADDRESS

Patent Counsel

Applied Materials, Inc. P.O. Box 450A

Santa Clara, CA 95052

paper(s) other than the request for a CPA. 2. APPLICATION IDENTIFICATION:

a. For prior application

Filing Date:

Title:

Application No:

Attorney Docket No:

First Named Inventor: b. For instant CPA application New Attorney Docket No: (if applicable)

(Provide at least chough Information to identify the application)



PTO/SB/29A (08-00)
Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it displays a valid OMB control number. If this RECEIPT is included with a request for a CPA filed by facsimile RECEIPT transmission, it will be date stamped and mailed to the ADDRESS in item 1. **FOR** Applicant's Mailing Address for this receipt must be CLEARLY FACSIMILE TRANSMITTED **CPA** (To accompany a request for a Continued Presecution Application (CPA) under 37 CFR 1.53(d) filed by NOTE: By this receipt, the USPTO (a) acknowledges that a request for a CPA was facsimile transmission) filed by facsimile transmission on the date stamped below by theUS PTO and (b) Verifies only that the application number provided by the applicant on this receipt is the same as the application number provided on the accompanying request for a CPA. This receipt CANNOT be used to acknowledge receipt of any January 29, 2000 METHOD AND APPARATUS FOR CLEANING A SEMICONDUCTOR WATER PROCESSING SYSTEM Kwan, et al.

(THIS AREA FOR PTO DATE STAMP USE)

The USPTO date stamp, which appears in the box to the right, is an acknowledgement by the USPTO of receipt of a request for a CPA filed by facsimile transmission on the date indicated below.

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Please stamp area to the right with the date the complete transmission of the request for a CPA was received in the USPTO and also include the USPTO organization name that provided the date stamp (stamp may include both items). Verify that the application number provided by applicant on this receipt is the same as the application number provided by applicant on the request for a CPA accompanying this receipt. If there is an inconsistency between the application number provided on this receipt and the request for a CPA, strike through the inconsistent application number provided on this receipt and insert the correct application number, if possible. Then place in a window envelope and mail.:

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Assistant Commissioner (or Ratents, 1) Box Patent Application, Washington, DC 20231.

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PRELIMINARY AMENDMENT Serial No. 09/494,327 Page 1 of 12

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant: Kwan, et al.

Case: 4209

Serial No.: 09/494,327

Filed: January 28, 2000

Examiner: Markoff, Alexander

Group Art Unit: 1746

Title: METHOD AND APPARATUS FOR CLEANING A SEMICONDUCTOR

WAFER PROCESSING SYSTEM

COMMISSIONER FOR PATENTS Box AF Amendment Washington, DC 20231

SIR:

PRELIMINARY AMENDMENT ACCOMPANYING CONTINUED PROSECUTION APPLICATION

02/27/2003 ASINGLET 000000031A07283poA3294607the Final Office Action dated November 4, 2002 (Paper No. 01 FC:1201 Phy), Having an extendable shortened statutory period for response set to expire

on February 4, 2003, please enter this response accompanying a Continued Prosecution Application (CPA) and reconsider the claims pending in the application for reasons discussed below. The Commissioner is hereby authorized to charge counsel's Deposit Account No. 20-0782 for any fees, including filing fees, extension of time fees and excess claim fees, required to make this response timely and acceptable to the Office.

IN THE CLAIMS

Please replace the indicated claims as follows:

(Amended) A computer-readable medium having stored thereon a plurality of instructions, the plurality of instructions including instructions which,

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